

PATENT

Attorney Docket No. 99-030  
Application Serial No. 09/409,041

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Packes, Jr., et al.

Customer No.: 22927

Application No.: 09/409,041

Filed: September 29, 1999

For: SYSTEM AND METHODS TO  
PROVIDE A PRODUCT TO A  
CUSTOMER BEFORE A FINAL  
TRANSACTION TERM VALUE IS  
ESTABLISHED)  
) Group Art Unit: 2761  
)  
) Examiner: Michael A. Cuff  
)  
) **PRELIMINARY AMENDMENT**  
)  
) Attorney Docket No. 99-030  
)  
) Walker Digital, LLC  
) Five High Ridge Park  
) Stamford, CT 06905-1326  
) (203) 461-7000 /voice  
) (203) 461-7300 /fax  
)CERTIFICATE OF TRANSMISSION BY  
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no. 703.748.7239) to the: Assistant Commissioner for Patents,  
Washington, D.C. 20231, on January 16, 2002.Dated: 1/16/2002 By: V.S. Schiever  
Veronika S. SchieverAssistant Commissioner for Patents  
Washington, D.C. 20231

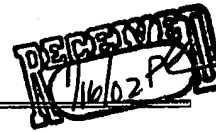
Dear Sir:

Consideration of the following remarks and entry of the following amendments in  
the above identified application is respectfully requested.

## A M E N D M E N T

Please amend the above-identified application as follows:

WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905  
(203) 461-7000



## FACSIMILE TRANSMITTAL SHEET

TO: PATENT EXAMINER M. A. CUFF

FROM: Veronika S. Leliever  
Senior Patent Paralegal

COMPANY: USPTO

DATE: January 16, 2002

FAX NUMBER: 1 (703) 746.7239

TOTAL NO. OF PAGES INCLUDING  
COVER: 10

PHONE NUMBER: 1 (703) 306.5447

SENDER'S FAX # 1 (203) 461-7300

RE: WALKER DIGITAL, LLC

SENDER'S PHONE EXTENSION  
(203) 461-7035X URGENT X FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

## FORMAL

Re: Application Serial No. 09/409,041  
Title "SYSTEM AND METHODS TO PROVIDE A PRODUCT TO A  
CUSTOMER BEFORE A FINAL TRANSACTION TERM VALUE IS  
ESTABLISHED"  
Walker Digital, LLC - Attorney Docket No. 99-030


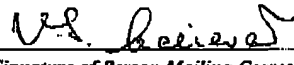
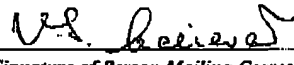
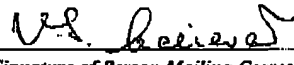
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Dated: January 16, 2002 By:

  
Veronika S. Leliever

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<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. 99-030							
Applicant(s): PACKES, JR., et al.											
Serial No. 09/409,041	Filing Date September 29, 1999	Examiner M. A. Cuff		Group Art Unit 2761							
Invention: <b>SYSTEM AND METHODS TO PROVIDE A PRODUCT TO A CUSTOMER BEFORE A FINAL TRANSACTION TERM VALUE IS ESTABLISHED</b>											
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>											
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.											
<b>CLAIMS AS AMENDED</b>											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
TOTAL CLAIMS	56 -	56 =	0 x	\$18.00	\$0.00						
INDEP. CLAIMS	13 -	13 =	0 x	\$84.00	\$0.00						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00						
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>						
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0271 A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.											
 _____ Signature			Dated: January 16, 2002								
Dean P. Alderucci Attorney for Applicants PTO Registration No. 40,484 203.461.7337/phone 203.461.7300/fax  Customer No. 22927  Alderucci@walkerdigital.com CC:											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">           I certify that this document and fee is being faxed            January 16, 2002 to fax no. 203.746.7239            under 37 C.F.R. 1.8 and is addressed to the            Assistant Commissioner for Patents, Washington, D.C.            20231.         </td> </tr> <tr> <td colspan="2" style="text-align: center;">             _____            Signature of Person Mailing Correspondence         </td> </tr> <tr> <td colspan="2" style="text-align: center;"> <b>Veronika S. Leliever</b>            _____            Typed or Printed Name of Person Mailing Correspondence         </td> </tr> </table>						I certify that this document and fee is being faxed January 16, 2002 to fax no. 203.746.7239 under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		 _____ Signature of Person Mailing Correspondence		<b>Veronika S. Leliever</b> _____ Typed or Printed Name of Person Mailing Correspondence	
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<b>Veronika S. Leliever</b> _____ Typed or Printed Name of Person Mailing Correspondence											